



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

8/14/02
S/A
w/ deed

In re application of:

Richard Marc LIBMAN

Appl. No. 09/592,086

Filed: June 12, 2000

For: **Method and Apparatus for Preparing
Client Communications Involving
Financial Products and Services**

Confirmation No.: 6844

Art Unit: 2162

Examiner: Alvarez, Raquel

Atty. Docket: 23651.0536 (MQL)

Amendment & Reply Under 37 C.F.R. § 1.111

Commissioner for Patents
Washington, D.C. 20231

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AUG 12 2002

Sir:

GROUP 3000

In reply to the Office Action dated **February 12, 2002** (PTO Prosecution File

Wrapper Paper No. 4), Applicant submits the following Amendments and Remarks. This
Amendment is provided in the following format:

- (A) A clean version of each replacement paragraph/section/claim along with
clear instructions for entry;
- (B) Starting on a separate page, appropriate remarks and arguments. 37
C.F.R. 1.116 and MPEP 714; and
- (C) Starting on a separate page, a marked-up version entitled: Version with
markings to show changes made.

It is not believed that extensions of time or fees for net addition of claims are
required beyond those that may otherwise be provided for in documents accompanying this
paper. However, if additional extensions of time are necessary to prevent abandonment of
this application, then such extensions of time are hereby petitioned under 37 C.F.R.